

Docket No. 231042US0



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Maria DALKO, et al.

SERIAL NO: 10/691,511

GAU: 1615

FILED: October 24, 2003

EXAMINER:

FOR: 5-choleten-3beta, 25 diol-7-one, ESTERS AND ETHERS THEREOF, PARTICULARLY FOR THE TREATMENT OF DRY SKIN AND SCALP

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

ORLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard L. Treanor

Registration No. 36,379

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Richard L. Treanor

Registration No. 36,379

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 231042US0		SERIAL NO. 10/691,511	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Maria DALKO, et al.			
				FILING DATE October 24, 2003		GROUP 1615	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,157,391	06-05-79	Kitame et al.			06-07-77
	AB	4,496,556	06-29-85	Orentreich			08-16-82
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO	FR 1 255 602 A	03-10-61	FRANCE			X
	AP	EP 0 608 600 A	08-03-94	EP	X		
	AQ	DE 43 28 828 A	03-02-95	DE			X
	AR	JP 09 255552 A	09-30-97	JP			X
	AS	JP 11 279018 A	10-12-99	JP			X
	AT	GB 2 080 108 A	02-03-82	GB	X		
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Mugikura, Shigeru et al: "Cosmetics containing keratinization inhibitors" retrieved from STN Database accession no. 127:336482 (XP02248377)					
	AX	Maruyama, Nao et al: "Skin preparations containing keratin-softening agents and sequestering agents" retrieved from STN Database accession no. 131:276780 (XP002248378)					
	AY	Werthle, Myriam et al: "Local administration of 7. beta.-hydroxycholesteryl-3-oleate inhibits growth of experimental rat C6 glioblastoma" retrieved from STN Database accession no. 120:182581 (XP002248379)					
	AZ	Frederick R. Taylor, et al.: The Journal of Biological Chemistry; Vol. 259, No. 20, Issue of October 25, pp.12382-12387, 1984 "Correlation between Oxysterol Binding to a Cytosolic Binding Protein and Potency in the Repression of Hydroxymethylglutaryl Coenzyme A Reductase"				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner							
Date Considered							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							